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FROM: Leslie A. Van Leeuwen  
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Docket No. AUS920010988US1

Serial No. 09/998,389

Atty: DAM / JVL

Applicant: Aschen, et al.

☒ Transmittal Letter (2 copies)

☒ Certificate of Facsimile (incl. w/Amendment)

☒ Amendment ( 17 pages)

☐ Notice of Appeal

☐ Amendment AF

☐ Appeal Brief (3 copies)

☐ Ext. of Time

☐ Reply Brief

☐ IDS Statement

☐ Change of Address

☐ Other

Deposit Acct. No. 09-0447

Fees: Amendment \_\_\_\_\_ Notice of Appeal \_\_\_\_\_ Appeal Brief \_\_\_\_\_ Other \_\_\_\_\_

File: 1052

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

IBM DOCKET NO. AUS920010988US1

DATE: July 29, 2005

Application Serial No.: 09/998,389

Sir:

Assignee Name: International Business Machines Corporation  
Assignee Residence: Armonk, New York

Transmitted herewith for filing is the Patent Application of:

Inventors: Aschen, et al.

For: System and Method for Controlling Invalid Password Attempts

Enclosed are:

☒ Amendment and Response to Office Action.

Any additional filing fees have been calculated as shown below:

For	Number Filed	Number Added	Number Extra	Rate	Additional Fee
Total Claims	20	0	0	x \$50	\$ 0.00
Indep. Claims	3	0	0	x \$200	\$ 0.00

Total: \$ 0.00

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☒ Any additional filing fees required under 37 CFR Sect. 1.16.

☒ Any patent application processing fees under 37 CFR Sect. 1.17.

☒ No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and the undersigned hereby authorizes the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

By

*Leslie A. Van Leeuwen*  
Leslie A. Van Leeuwen, Reg. No. 42,196

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Atty Ref. No. 1052

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

IBM DOCKET NO. AUS920010988US1  
DATE: July 29, 2005

Application Serial No.: 09/998,389

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Assignee Name: International Business Machines Corporation  
Assignee Residence: Armonk, New York

Transmitted herewith for filing is the Patent Application of:

Inventors: Aschen, et al.

For: System and Method for Controlling Invalid Password Attempts

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Respectfully submitted,

By Leslie A. Van Leeuwen  
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Atty Ref. No. 1052

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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CENTRAL FAX CENTERIn re application of:  
Aschen, et. al.

Serial No.: 09/998,389

Filed: November 29, 2001

Title: System and Method for  
Controlling Invalid  
Password Attempts

\$ Group Art Unit: 2133  
 \$ Confirmation No.: 7327  
 \$ Examiner: Gelagay, Shewaye  
 \$  
 \$ Attorney Docket No.  
 \$ AUS920010988US1  
 \$  
 \$ IBM Corporation  
 \$ Intellectual Property Law  
 \$ Dept.  
 \$ 11400 Burnet Road  
 \$ Austin, Texas 78758

JUL 29 2005

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<i>Leslie A. Van Leeuwen</i>	7/29/05
Leslie A. Van Leeuwen	Date

RESPONSE TO OFFICE ACTION

Sir:

A. INTRODUCTORY COMMENTS

In response to the Office Action having a mailing date of May 16, 2005, with a three-month shortened statutory period for response set to expire on August 16, 2005, Applicants respectfully request reconsideration of the outstanding rejections and reexamination of the present application in light of the following remarks and amendments.

No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and the undersigned hereby authorizes the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Docket No. AUS920010988US1

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Atty Ref. No. IBM-1052

Aschen, et. al. - 09/998,389